

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION  
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)****BOX PCT****COMPLETION  
For PCT Cases Only**In re PATENT APPLICATION of

Inventor(s): HAUMONT

Appln. No.: 10 | 089,405  
Series Code ↑ Serial No. ↑

Atty. Dkt.

P

291791  
M#2000566US/HS/kp  
Client Ref

Attn: Application Division

National Phase

Based on PCT FI01 | 00688  
↑ Country Code & YearTitle: DETERMINING SERVICES ACCESSIBLE VIA A  
SUBSCRIPTION IN A COMMUNICATION SYSTEM

Date: July 17, 2002

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report** (ISR) attached (\_\_\_ page(s))
  - a. ☐ plus Annex of family members (\_\_\_ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. \_\_\_ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: \_\_\_ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:12. ☐ Preliminary Amendment:13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 2515. **CLAIMS FEES** ☒ previously paid ☐ paid herewith as follows:15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	24	minus 24 =	0	x \$18/\$9	+0	966/967
17. Independent Claims	5	minus 5 =	0	x \$84/\$42	+0	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$280/\$140	+0	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+130	154/254
20. <b>SUBTOTAL</b>					<b>\$130</b>	
21. <b>Original due date:</b> 13						
22. <b>Petition is hereby made</b> to extend the <u>original</u> due date to				(1 mo)	\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =	115/215
is attached				(3mos)	\$920/\$460 =	116/216
				(4mos)	\$1,440/\$720 =	117/217
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	118/218
24. If "assignment" box 6 is X'd, add recording fee				\$40	+40	156
25. <b>TOTAL FEE =</b>					<b>\$170</b>	
						<b>PLEASE CHARGE OUR DEP. ACCT.</b>

(Our Deposit Account No. 03-3975)

(Our Order No. 60258 | 290791  
C# M#)

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1600 Tysons Boulevard  
 McLean, VA 22102  
 Tel: (703) 905-2000

By Atty: Christine H. McCarthyReg. No. 41844Sig: 

Fax: (703) 905-2500  
 Tel: (703) 905-2143

CHM/jrh

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

07/22/2002 GFREY1 00000046 033975 10089405

01 FC:154 130.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PHASE OF  
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

2002 Rec'd PCT/PTO 01 APR 2002

10/089405

To: Hon. Commissioner of Patents  
Washington, D.C. 20231



00909

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: P 290791 /2000566US/Hs/kp  
M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group:

Date: April 1, 2002

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

- |                              |                              |   |
|------------------------------|------------------------------|---|
| 1. International Application | 2. International Filing Date | 3. Earliest Priority Date Claimed                     |
| <u>PCT/FI01/00688</u>        | <u>31 July 2001</u>          | <u>2 August 2000</u>                                  |
| <u>↑ country code</u>        | Day MONTH Year               | Day MONTH Year<br>(use item 2 if no earlier priority) |
4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a) ☒ 20 months from above item 3 date (b) ☐ 30 months from above item 3 date,

(c) Therefore, the due date (unextendable) is April 2, 2002

5. Title of Invention DETERMINING SERVICES ACCESSIBLE VIA A SUBSCRIPTION IN A COMMUNICATION SYSTEM

6. Inventor(s) HAUMONT

\*Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. ☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).

8. ☒ **A copy of the International Application** as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:

a. ☒ Request;

b. ☒ Abstract;

c. 24 pgs. Spec. and Claims;

d. 2 sheet(s) Drawing which are ☐ informal ☒ formal of size ☒ A4 ☐ 11"

9. ☒ **A copy of the International Application has been transmitted by the International Bureau.**

10. **A translation of the International Application** into English (35 U.S.C. 371(c)(2))

a. ☐ is transmitted herewith including: (1) ☐ Request; (2) ☐ Abstract;

(3) \_\_\_\_\_ pgs. Spec. and Claims;

(4) \_\_\_\_\_ sheet(s) Drawing which are:

☐ informal ☐ formal of size ☐ A4 ☐ 11"

b. ☒ is not required, as the application was filed in English.

c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.

d. ☐ Translation verification attached (not required now).

RE: USA National Phase Filing of PCT /FI01/00688

10/089405  
JC10 Rec'd PCT/PTO 01 APR 2002

11. ☒ Please see the attached Preliminary Amendment
12. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., **before 18th month from first priority date above in item 3, are transmitted herewith (file only if in English) including:**
13. ☒ PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. ☐ Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments made before 18th month, is attached (required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled).**
15. **A declaration of the inventor (35 U.S.C. 371(c)(4))**  
 a. ☐ is submitted herewith ☐ Original ☐ Facsimile/Copy  
 b. ☒ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
16. **An International Search Report (ISR):**  
 a. Was prepared by ☐ European Patent Office ☐ Japanese Patent Office ☒ Other  
 b. ☒ has been transmitted by the international Bureau to PTO.  
 c. ☒ copy herewith (1 pg(s).) ☒ plus Annex of family members (1 pg(s).)
17. **International Preliminary Examination Report (IPER):**  
 a. ☒ has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.  
 b. ☐ copy herewith in English.  
 c.1 ☐ IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:  
 c.2 ☐ Specification/claim pages # \_\_\_ claims # \_\_\_  
 Dwg Sheets # \_\_\_  
 d. ☐ Translation of Annex(es) to IPER **(required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled).**
18. **Information Disclosure Statement** including:  
 a. ☒ Attached Form PTO-1449 listing documents  
 b. ☒ Attached copies of documents listed on Form PTO-1449  
 c. ☒ A concise explanation of relevance of ISR references is given in the ISR.
19. ☐ **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.
20. ☐ Copy of Power to IA agent.
21. ☐ **Drawings** (complete only if 8d or 10a(4) not completed): \_\_\_ sheet(s) per set: ☐ 1 set informal; ☐ Formal of size ☐ A4 ☐ 11"
22. Small Entity Status ☒ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)
- 22(a) \_\_\_ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)
23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) FINLAND of:  

Application No.	Filing Date	Application No.	Filing Date
(1) 20001740	August 2, 2000	(2) _____	_____
(3) _____	_____	(4) _____	_____
(5) _____	_____	(6) _____	_____

 a. ☒ See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, please proceed promptly to obtain same from the IB.  
 b. ☐ Copy of Form PCT/IB/304 attached.

RE: USA National Phase Filing of PCT/FI01/00688

10/089405  
JC10 Rec'd PCT/PTO 01 APR 2002

24. Attached: PRELIMINARY AMENDMENT

25. Per Item 17.c2, **cancel original** pages #\_\_, claims #\_\_, Drawing Sheets #

26. **Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**  
Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☐ 17, ☐ 25 (hilit)

Total Effective Claims	24	minus 20 =	4	x \$18/\$9	=	\$72	966/967
Independent Claims	5	minus 3 =	2	x \$84/\$42	=	\$168	964/965
If any proper (ignore improper) Multiple Dependent claim is present,				add\$280/\$140	+0		968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ **BASIC FEE REQUIRED, NOW** →→→→

A. If country code letters in item 1 are **not** "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN", "ZA", "LC" or "PH"

See item 16 re:

1. Search Report was not prepared by EPO or JPO -----	add\$1,040/\$520	966/961
2. Search Report was prepared by EPO or JPO -----	add\$890/\$445	970/971
	<u>+1040</u>	

**SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN", "ZA", "LC" or "PH"**

→ <input type="checkbox"/> B. If USPTO did not issue <u>both</u> International Search Report (ISR) and (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add\$1,040/\$520	<u>+0</u>	960/961
(only) <input type="checkbox"/> C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), -----	add\$740/\$370	<u>+0</u>	958/959
(of) <input type="checkbox"/> D. If USPTO issued IPER but IPER Sec. V boxes <u>not all 3</u> YES, -----	add\$710/\$355	<u>+0</u>	956/957
(these) <input type="checkbox"/> E. If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) <u>satisfied</u> (i.e., in IPER Sec. V <u>all 3</u> boxes <u>must</u> be YES for <u>all</u> claims), --	add \$100/\$50	<u>+0</u>	962/963

27. **SUBTOTAL =** \$1280

28. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +0 (581)

29. If box 15a is x'd, determine whether inventorship on Declaration is different than in international stage. If yes, add (per Rule 497(d)) ----\$130 +0 (098)

30. Attached is a check to cover the ----- **TOTAL FEES** \$1280

Our Deposit Account No. 03-3975

Our Order No. 60258 | 290791  
C# M#



00909

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

By Atty: Christine H. McCarthy

Reg. No. 41844

Sig: [Signature]

Fax: (703) 905-2500

Tel: (703) 905-2143

Atty/Sec: CHM/JRH

**NOTE:** File in duplicate with 2 postcard receipts (PAT-103) & attachments.



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PC1  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY DOCKET NO
10/089,405	Christine H. McCarthy <i>Serge Haumont</i>	P290791
INTERNATIONAL APPLICATION NO		
PCT/FI01/00688		
I.A. FILING DATE	PRIORITY DATE	
07/31/2001	08/02/2000	

00909  
PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
MCLEAN, VA 22102

CONFIRMATION NO. 5242

371 FORMALITIES LETTER



\*OC000000008269519\*

Date Mailed: 06/13/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

**RECEIVED**  
PILLSBURY WINTHROP LLP/VA

JUN 18 2002

CL 60258 MT# 290791  
ATTY(S) DSL CHM  
DUE: Aug 13, 2002  
DKT BY (1) LMS (2) [Signature]

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

U S APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/089,405	PCT/FI01/00688	P290791